

Individual Client <input type="text"/>	Non - Individual Client <input type="text"/>	Application No.	
		Branch	
		Branch Code	
		Region	

To be Filled at (HO)									
Trading Code									
DP Code									



WAY2WEALTH
A COFFEE DAY COMPANY

CLIENT REGISTRATION FORM

WAY2WEALTH BROKERS PRIVATE LIMITED

Reg.Office : Frontline Grandeur, Ground Floor, No.14, Walton Road, Bangalore - 560 001.

Phone : 080 - 4367 6869, 2212 1512 / 952 Fax : 080 - 4367 6999

E-mail : registration@way2wealth.com

Website : www.way2wealth.com

<p>SEBI Reg No: BSE : INB011150233 BSE F & O : INF011150233 NSE : Capital Market : INB231150237 F&O : INF230683037, CDS: INE231150237 MCX-SX: INE261150237 NSDL : IN-DP-NSDL-253-2005 CDSL-IN-DP-CDSL-526-2009</p> <p>Clearing Member Details : IL&FS Securities Services Limited Segment : Futures & Options and Currency Derivatives</p> <p>Investor Grievance Details : Member grievance@way2wealth.com, Tel : 080 - 43676928 dp@way2wealth.com</p> <p>Investor Grievance Details : Exchange NSE : ig@nse.co.in Tel : 022-26598100 BSE : is@bse.co.in Tel : 022-22728097 MCX-SX : investorcomplaints@mcx-sx.com</p>	<p>Details of Compliance Officer Name : Raghavendra B. Email : raghavendrabb@way2wealth.com Telephone : 080 - 43676862</p> <p>Details of CEO Name : M R Shashibhushan Telephone : 080- 43676869 Email : ceo@way2wealth.com</p> <p>Corp Office Address : 3rd Floor, Tower-B, Hincan House, 247 Park, L.B.S. Road, Vikhroli (West), Mumbai - 400083</p>
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For any grievance/dispute please contact stock broker at the above address, email id and Phone No. In case not satisfied with the response, please contact the concerned exchange(s) at respective investor grievance, Phone No. given above.

For Branch Office / Authorised Person :

Particulars	Employee Code	Employee Name
Introducer/Lead Generator		
Relationship Manager / Lead Closer		
Dealer		

Branch Manger / AP Authorisation

Date : Name : Designation : Contact No. : Employee Code / AP Code : **For office use only (Branch Office)**

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document(s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Document Verification/Inperson Verification & Client Interviewed by :

Branch/Sub-Broker/AP Seal & Signature

Name : Designation : Employee Code/AP/Sub-Broker Code :

Signature of Person Doing IPV :

For office use only (Head Office)

CODE ALLOTTED

Date :

Signature of the Authorised Signatory

Please note that the KYC Application Form and overleaf instruction should be printed on the same page (back to back). If printed separately than both the pages should be attached and signed by the applicant

Know Your Client (KYC)
Application Form For Individuals

Way2Wealth Brokers Private Limited
Front Line Grandeur, No. 14, Walton Road, Bangalore - 560 001



WAY2WEALTH
A COFFEE DAY COMPANY

Application No.

Please fill the Application in **English** and in **BLOCK Letters** with **Black Ink** :

A. Identity Details : (Please See the Guidelines over Leaf)

1. Name of the Applicant (As appearing in the Supporting Identification Documents)

Name :

Father/Spouse Name:

2. Gender : ☐ Male ☐ Female ☐ Married ☐ Single Date of Birth:

3. Nationality: ☐ Indian ☐ Others:

4. Residential Status: ☐ Resident Indian ☐ NRI ☐ Foreign National (Passport is Mandatory for NRI & FN)

5. PAN Unique Identification No (UID) Aadhar if any

6. POI Submitted for PAN exempted Case: ☐ UID ☐ Passport ☐ Voter ID ☐ Driving Licence Other:

Please affix a recent
passport Size Photo
and sign across it

B. Address Details: (Please See the Guidelines over Leaf)

1. Address for Correspondence

City/Town/Village: Pin Code:

State: Country

2. Contact Details:

Tel (Off): (ISD) (STD) Tel (Resi): (ISD) (STD)

Mobile No: (ISD) (STD) Fax No: (ISD) (STD)

Email Id:

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached

☐ Passport ☐ Driving License ☐ Voter Ids ☐ Ration Card ☐ Latest Telephone Bill(Only LL)* ☐ Latest Electricity Bill*

☐ Registered Lease/Sale Agreement of Residence ☐ Latest Gas Bill* ☐ Latest Bank Account Statement*/Pass Book Others:

*Not more than 3 months old. Validity/Expiry date of proof of address submitted

4. Permanent Address of Resident Applicant if different from above BI or Overseas Address (Mandatory) for Non-Resident Applicant

City/Town/Village Pin Code:

State: Country

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid document & tick (3) against the document attached.

☐ Passport ☐ Driving License ☐ Voter Ids ☐ Ration Card ☐ Latest Telephone Bill(Only LL)* ☐ Latest Electricity Bill*

☐ Registered Lease/Sale Agreement of Residence ☐ Latest Gas Bill* ☐ Latest Bank Account Statement* Others:

☐ AADHAR CARD

*Not more than 3 months old. Validity/Expiry date of proof of address submitted

Declaration

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / we are aware that I / we may be held liable for it.

Place Date:

2

Signature of client

For Office Use Only:

Inperson Verification Carried Out On

Name of Official:

Designation:

Employee / AP Code:

☐ (Originals Verified) Self Certified Documents ☐ (Attested) True copies of documents

Signature & Branch / AP Seal

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including promoters / Partners / Karta / Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/Passport/Voter ID card/Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA):-List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Unique Identification Number (UID) (Aadhaar) Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill- Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly / Parliament / Documents issued by any Govt, or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FH/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy / Consulate General in the country where the client resides are permitted to attest the documents.

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

- Self attested copy of PAN card is mandatory for all clients, including promoters / Partners / Karta / Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
- Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- If any proof of identity or address is in a foreign language, then translation into English is required.
- Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- If correspondence & permanent address are different, then proofs for both have to be submitted.
- Sole proprietor must make the application in his individual name & capacity.
- For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
- For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI):- List of documents admissible as Proof of Identity:

- Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/Driving license.
- PAN card with photograph,
- Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA); - List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

- Unique Identification Number (UID) (Aadhaar) Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/ Driving License/ Fiat Maintenance bill/Insurance copy.
- Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill- Not more than 3 months old.
- Bank Account Statement/Passbook - Not more than 3 months old.
- Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly / Parliament / Documents issued by any Govt, or Statutory Authority.
- Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
- The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

- In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- Investors residing in the state of Sikkim.
- UN entities/multilateral agencies exempt from paying taxes filing tax returns in India.
- SIP of Mutual Funds upto Rs 50,000/- p.a.
- In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multi National Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the document.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> ● Copy of the balance sheets for the last 2 financial years (to be submitted every year). ● Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations duly certified by the company secretary/Whole time director/MD (to be submitted every year). ● Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. ● Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. ● Copies of the Memorandum and Articles of Association and certificate of incorporation. ● Copy of the Board Resolution for investment in securities market ● Authorised signatories list with specimen signatures
Partnership firm	<ul style="list-style-type: none"> ● Copy of the balance sheets for the last 2 financial years (to be submitted every year). ● Certificate of registration (for registered partnership firms only). ● Copy of partnership deed. ● Authorised signatories list with specimen signatures. ● Photograph, POI, POA, PAN of Partners
Trust	<ul style="list-style-type: none"> ● Copy of the balance sheets for the last 2 financial years (to be submitted every year). ● Certificate of registration (for registered trust only). ● Copy of Trust deed. ● List of trustees certified by managing trustees/CA. ● Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> ● PAN of HUF ● Deed of declaration of HUF/List of coparceners. ● Bank pass-book/bank statement in the name of HLT. ● Photograph, POI, POA, PAN of Karta
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> ● Proof of Existence/Constitution document ● Resolution of the managing body cS: Power of Attorney granted to transact business on its behalf ● Authorized signatories list with specimen signatures.
Banks/Institutional Investors	<ul style="list-style-type: none"> ● Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. ● Authorized signatories list with specimen signatures
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> ● Copy of SEBI registration certificate. ● Authorized signatories list with specimen signatures.
Army/ Government Bodies	<ul style="list-style-type: none"> ● Self-certification on letterhead. ● Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> ● Copy of Registration Certificate under Societies Registration Act ● List of Managing Committee members. ● Committee resolution for persons authorised to act as authorised signatories with specimen signatures. ● True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

Details of Promoters / Partners / Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non- Individuals

Name of Applicant				PAN No.			
Sr. No.	PAN	Name	DIN (For Directors) UID (for Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.	Whether Politically Exposed	Photograph
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	

ACCOUNT OPENING KIT INDEX

S.No.	Name of the Document	Brief Significance of the Document	Page No.
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI, EXCHANGES & DEPOSITORY			
1	Account Opening Form	KYC form - Document captures the basic information about the constituent and an instruction/check list. Document captures the additional information about the constituent relevant to trading account and anDP Account	3-7
2	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	Addl. Insert
3	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	Addl. Insert
4	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	Addl. Insert
5	Policies and Procedures	This document contains policies and procedures to be followed by the Broker relating to the various operational issues of day to day business activities. The clients are requested to go through it carefully and keep it in mind while dealing through us. Any changes in this document shall be intimated to the client through the periodic communications. The clients may any time request for the updated copies of this document or refer to it at website.	Addl. Insert
6	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s)	5
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
7	Writeup on PMLA	Brief Writeup on the provisions of prevention of money laundering Act 2002 (PMLA) which client needs to know.	Addl. Insert
8	Check List	Instructions for filling KYC form.	Addl. Insert
9	Additional clauses	Additional Voluntary clauses supplements to Account Opening form	Addl. Insert
10	Issuance of Electronic Contract Note	Request and acceptance by client for receiving documents in electronic form	9
11	Authorisation for Running Account	For the operational convenience, if a client is dealing frequently and wishes to avoid exchange of funds and securities for every Exchange/segment separately and on a daily/due date basis, this Document may be signed by the client authorizing broker to keep the account as running account across all exchange/segment.	10
12	Securities Trading using Wireless Technology	Agreement for Securities Trading using Wireless Technology	11-12

KYC Application Form for Depository Services

1	Charges for Depository	Information on Tariff structure for DP Services as per DP Regulations	8
3	Rights & Obligations - Depository Participant	Rights and obligations of the Beneficial owner and Depository Participants	Addl. Insert
4	Power of Attorney	Power of Attorney needed to operate DP account with the Participant	13-15

Additonal Details (Part-B)
☐ Offline Account ☐ Online EXE account (Fee Rs.750/-) ☐ Online WEB Account ☐ DP Account
Income & Occupation Details
 Gross Annual Income Details ☐ Upto1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ 25 Lac to 1 Crore ☐ Above 1 Crore

 Net worth: (Should not be older than one Year): Networth Date

 RBI Approval Ref No.(ForNRI/FN/FII/OCB/Others Date of Approval

 SEBI Registration No.(For FII's):

 Income Proof: ☐ IT Return ☐ Last 6 Month Bank Statement ☐ Salary Slip/Form 16 ☐ Latest DP Statement

 Occupation: ☐ Private Sector ☐ Public Sector ☐ Govt.Service ☐ Student ☐ Professional ☐ Business ☐ Nature of Business

☐ Agriculturist ☐ House wife ☐ Retired Person Others:

 Name of the Employer:

 Address & Contact No:

 Please Tick if Applicable: ☐ Politically Exposed Person ☐ Related to Politically exposed Person
Nomination Details (DP & Trading account)
☐ I/We wish to nominate (As per details given Below)

☐ I/We do not wish to nominate (Strike the Nomination Details)

I/We wish to make the nomination and do hereby nominate the following person in whom all rights and/or amount payable in respect of securities held in the depository/trading by me/us in the said beneficiary owner account/trading account shall rest in the event of my/our Death

 Name of the Nominee:

 Address:

 City: Pin Code:

 State: Country

 Phone: Mobile:

 Email Id:

 Date Place Signature of Nominee/Guardian (Minor)

 Relationship with Nominee:

 PAN of nominee

 Aadhaar No. of nominee Attested Copy of any other (ID Proof)

 Demat account No. details of nominee DP ID Client ID

 If Nominee is Minor (Details of Guardian) PAN of Guardian

 Aadhaar No. of Guardian Attested Copy of any other (ID Proof)

 Demat account No. details of Guardian: DP ID Client ID

 Relationship with Nominee: Date of Birth:

 Name of Guardian:

 Address of Guardian:

 City: Pin Code:

 State: Country:

 Phone: Mobile:

First/Sole Holder

Second Holder

Third Holder

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us

Name & Address of Witness:

Signature & Date of Witness

 A X

 B X

Nomination Form Accepted and registered wide Registration No. _____ Dated _____

Details of Dealing through Sub-Brokers and other Stock Brokers

Name & Address of Broker/Sub-Broker:

Telephone & Website:

SEBI Registration No.

Client Codes:

Total No of Year of Experience : ☐ Nil ☐ 1-3 Years ☐ 3-6 Years ☐ More than 6 years

Details of disputes/dues pending from/to such stock broker / subbroker _____

Any other Information : _____










Past Actions : Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years. _____

Whether you wish to receive physical Contract Note ☐ or Electronic contact Note (ECN) ☐

Whether you wish to avail the facility of Internet/wireless trading/mobile trading/technology (please specify): ☐ Yes ☐ No

Exchange & Segment Trading Preferences

Please tick and sign on the relevent boxes where you wish to trade and segment not selected should be striked off by client

Exchange	CASH	DERIVATIVE	CURRENCY DERIVATIVE	MUTUAL FUND
BSE				 Star MF
NSE				 MFSS
MCX-SX	-NA-			-NA-

Note: In future if the client want to trade in new segment/Exchange, separate authorisation letter should be given by client

DECLARATION

I here by declare that the details furnished above are true and correct to the best of my knowledge & belief and I undertake to inform you of any changes there in, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.

I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure' Document, additional voluntary risks disclosure clauses and Policies & Procedures. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website "www.way2wealth.com".

Client Name:		
Place:		
Date:		

Bank & Depository Account Details									
Bank Name & Address <input style="width: 800px;" type="text"/>									
Bank Account No: <input style="width: 150px;" type="text"/>					MICR No: <input style="width: 100px;" type="text"/>				
IFSC Code: <input style="width: 50px;" type="text"/>		A/C Type <input type="checkbox"/> Savings <input type="checkbox"/> Current		Others <input style="width: 100px;" type="text"/>					
DEPOSITORY PARTICIPANT NAME <input style="width: 150px;" type="text"/>			DP ID <input style="width: 50px;" type="text"/>		CLIENT ID <input style="width: 50px;" type="text"/>		DEPOSITORY <input type="checkbox"/> NSDL <input type="checkbox"/> CDSL		
Declaration form to update Same Mobile Number & E-mail Id (DP & Trading)									
I here by declare that email id and mobile number mentioed in the application form belongs to :									
<input type="checkbox"/> Me <input type="checkbox"/> My Family Member <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Parents <input type="checkbox"/> Dependent Children									
I request you can kindly update the same in your records for my Trading/Demat account opened with Way2Wealth Brokers Pvt. Ltd.									
<input style="width: 150px; height: 20px;" type="text"/> First Holder			<input style="width: 150px; height: 20px;" type="text"/> Second Holder			<input style="width: 150px; height: 20px;" type="text"/> Third Holder			
Brokerage Traiff for Trading									
Segment	Standard Rate				Flat Per Order				
	Minimum	In %	Minimum	In %					
Cash Delivery	5 Paisa	1.0%			<input style="width: 100px; height: 30px;" type="text"/>				
Cash Jobbing	5 Paisa	0.1%			Turnover wise <input style="width: 100px; height: 30px;" type="text"/>				
Futures	5 Paisa	0.1%							
Options	Rs.100/-	1.0%			Futures Carry Fwd <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">EQ%</th> <th style="width: 50%;">CDS%</th> </tr> <tr> <td><input style="width: 40px; height: 20px;" type="text"/></td> <td><input style="width: 40px; height: 20px;" type="text"/></td> </tr> </table>	EQ%	CDS%	<input style="width: 40px; height: 20px;" type="text"/>	<input style="width: 40px; height: 20px;" type="text"/>
EQ%	CDS%								
<input style="width: 40px; height: 20px;" type="text"/>	<input style="width: 40px; height: 20px;" type="text"/>								
Currency Futures	5 Paisa	0.1%							
Currency Options	Rs.25/-	1.0%							
1. The above rates are exclusive of Service Tax and education cess, SEBI/Exchange/Clearing Members Charges, Stamp Duty, Statutory Charges payable to exchange /SEBI/Govt. Authorities etc., which will be charged extra at the rate prevailing from time to time. 2. In Addition to this DP Annual Maintenance Charges, DP Transaction charges/Pledge/Un-pledge/demat/remat charges, DP Inter settlement charges, Account Opening charges, Bank charges towards cheques received unpaid, charges towards customized/special service, which will be charged extra at the rate prevailing from time to time. 3. The General rates as mentioned here shall be applied unless the special rates as may be agreed by the sub-broker/Authorised Person/Introducer and client and the same are mentioned here. 4. I have read and understand the additional risk disclosure clauses given by brokers and agreed to abend by the same.									
Clients Signature <div style="border: 1px solid black; width: 200px; height: 30px; margin-top: 5px;"> </div>									
Introducer Details									
Name: <input style="width: 200px;" type="text"/> Address: <input style="width: 200px;" type="text"/> <input style="width: 200px;" type="text"/>					<div style="border: 1px solid black; width: 100%; height: 60px; margin: 10px auto;"> Signature of Introducer </div>				
Status of Introducer: <input type="checkbox"/> Client <input type="checkbox"/> Sub-Broker/AP <input type="checkbox"/> Remissier Other <input style="width: 100px;" type="text"/>									

Additional Details for DP account

Client ID: (To be Filled by DP)

☐ CDSL DP ID: 12062900

☐ NSDL DP ID: IN 303077

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Type of account: ☐ Ordinary Resident ☐ NRI - Repatriable ☐ QFI ☐ NRI Non-Repatriable ☐ FN ☐ Body Corporate

Bank ☐ Trust ☐ FI ☐ FII ☐ Margin ☐ OCB ☐ BSDA ☐ Promoter ☐ CM ☐ Others : Sub-Status : _____

Name of the HUF / AOP / Partnership Firm / Unregistered Trust etc

Name PAN No:

Guardian Details (Where Sole holder is a Minor) ☐ Court Appointed

Name of the Guardian:

Address:

PAN No. of Guardian : Relationship

Standing Instructions:

1. I/We authorise you to receive credits automatically into my / our account ☐ Yes ☐ No
2. Account to be operated through Power of Attorney (POA) ☐ Yes ☐ No
3. SMS Alert Facility (Mandatory for POA Accounts)
 First Holder ☐ Yes ☐ No Second Holder ☐ Yes ☐ No Third Holder ☐ Yes ☐ No
4. Account Statement requirement: ☐ Weekly ☐ Monthly
5. I/We request you to send Electronic Transaction-Cum-Holding Statement at Sole / First ☐ Yes ☐ No ☐ E Cas
 Holder's Email Id stated in the account opening form
6. Do you wish to receive Dividends/Interest directly into your bank account mentioned in KYC ☐ Yes ☐ No
7. I/We would like to receive the Annual Report (Tick the applicable box.
 If not marked the default option would be in physical) ☐ Physical ☐ Electronic
8. I/We would like to share the email ID with the RTA ☐ Yes ☐ No

9. Transactions Using Secured Texting Facility (TRUST) (Refer to Terms and Conditions given as Annexure - B)

I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility, ☐ Yes ☐ No
 I have read and understood the Terms and Conditions prescribed by CDSL for the same.

I/We wish to register the following clearing member ID s under my/our below mentioned BO ID registered for **TRUST**

Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)

10. Standing Instruction for Auto Pledge Confirmation by Pledgee ☐ Yes ☐ No
11. Option for Issuance of Delivery Instruction Slip (DIS) Booklet. ☐ With A/c Opening ☐ On request
12. Basic Services Demat Account (BSDA) ☐ Yes ☐ No

BSDA Declaration: I/We have read and understood the SEBI guidelines for facility for a BSDA. I/We hereby declare that I/We am/are eligible to open a DP account as a BSDA holder and undertake to comply with the requirements specified by SEBI or any such authority for such facility from time to time. I/We also understand that in case I/We at any point of time do not meet the eligibility as a BSDA holder, my/our aforesaid DP account is liable to be converted to regular account

13. Facility for availing the benefits under Rajiv Gandhi Equity Savings Scheme (RGESS) ☐ Yes ☐ No

Note: If client is opting for RGESS then it is Mandatory to submit Form A declaration available in website, Failing which account will be activated without RGESS. Download form from www.way2wealth.com

Declaration

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We hereby declare that the details furnished above are true and correct to the best of my our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/We are aware that I/we may be held liable for it. I/We further agree that any false / misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action. In case non resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the Receipt of copy of the document, "Rights and obligations of the Beneficial owner and Depository Participant".

Name of the Holders

Signature(s) of Holder

First Holder



Second Holder



Third Holder



Introduction (By an Existing Account Holder / Applicant's Bank) For Body corporate account

Client ID:

Client Name:

Signature of Introducer

Clearing Member Details

Name of the Stock Exchange: SEBI Registration No:

Name of Clearing Corporation Clearing Member ID:

Trade Name: CMBP ID :

Schedule 'A'
Charges for Depository Services applicable to Individuals/HUF/Corporate
Account Opening Charges, AMC & Custody Charges

TRANSACTION TYPE	GENERAL / POA SCHEME <input type="checkbox"/>	SCHEME-1 <input type="checkbox"/>	SCHEME-2 <input type="checkbox"/>	BSDA <input type="checkbox"/>
Refundable Deposit *			2000/-*	
Annual Maintenance Charges	Rs.300/- p.a. per account	Rs.600/- p.a. per account	Nil	Nil upto stock value 50000/- Rs.100/- for stock value 50001/- to 2 lac.
Account opening, Closure and Custody Charges	Nil	Nil	Nil	Nil
Demat & Remat Charges				
Demat Charges	Rs.2/- per cent. plus Rs.75/-	Rs.2/- per cent. plus Rs.75/-	Rs.2/- per cent. plus Rs.75/-	Rs.2/- per cent. plus Rs.75/-
Remat Charges	Rs.25/- per cent. (for every hundred securities) plus plus Rs. 75/-	Rs.25/- per cent. (for every hundred securities) plus plus Rs. 75/-	Rs.25/- per cent. (for every hundred securities) plus plus Rs. 75/-	Rs.25/- per cent. (for every hundred securities) plus plus Rs. 75/-
Demat Rejection Charges	Rs.75/- per rejection	Rs.75/- per rejection	Rs.75/- per rejection	Rs.75/- per rejection
Transaction Charges				
Market / Off Market Credit	Nil	Nil	Nil	Nil
Selling through M/s. Way2Wealth Brokers Pvt. Ltd with POA	0.01% of Transaction Value, subject to minimum of Rs. 15/- per Transaction	15/- per Debit transfer	10/- per Debit transfer	50/- per Debit transfer
Market Selling & Off Market Debit (Including Inter-Depository) other than stated above	0.03% of Transaction Value, subject to minimum of Rs. 25/- per Transaction	0.03% of Transaction Value, subject to minimum of Rs.25/-per Transaction	0.03% of Transaction Value, subject to minimum of Rs.25/-per Transaction	0.03% of Transaction Value, subject to minimum of Rs.100/-per Transaction
Failed Transaction	Rs.20/- per Transaction	Rs.20/- per Transaction	Rs.20/- per Transaction	Rs.20/- per Transaction
Pledge & Securities Lending & Borrowing				
Pledge Creation	0.02% of the value of securities Pledged, subject to minimum of Rs.50/- per Transaction	0.02% of the value of securities Pledged, subject to minimum of Rs.50/- per Transaction	0.02% of the value of securities Pledged, subject to minimum of Rs.50/- per Transaction	0.02% of the value of securities Pledged, subject to minimum of Rs.50/- per Transaction
Pledge Creation Confirmation, Pledge Closure & Confirmation and Pledge Invocation	0.02% of the value of securities Pledged, subject to minimum of Rs.25/- per Transaction	0.02% of the value of securities Pledged, subject to minimum of Rs.25/- per Transaction	0.02% of the value of securities Pledged, subject to minimum of Rs.25/- per Transaction	0.02% of the value of securities Pledged, subject to minimum of Rs.25/- per Transaction
Securities Lending & Borrowing	Rs.50/- per Transaction	Rs.50/- per Transaction	Rs.50/- per Transaction	Rs.50/- per Transaction




- * **Deposit amount of Rs.2000/- [Rs. 5000 in case of corporate accounts] will be payable upfront and will be refunded only on closure of Demat account. AMC of 600/- will be deducted from the deposit amount if the account is closed within one year of the account opened or within one year of the scheme change.**
- ** **Incase of Corporate Accounts - AMC will be=W2W AMC +AMC charged by Depository.**

Terms & Conditions:

- The Above schedule of charges is based on NSDL and CDSL charges charged on us and is subject to revision at the discretion of Way2Wealth Brokers Pvt. Ltd. Any revision in the schedule of charges will be notified by ordinary post within 30 days notice.
- All the percentages in the above rates would be applied on the value of the transactions as computed by NSDL. And CDSL
- Any extra statement would be charged @ Rs. 15/- for first 10 pages, thereafter it would be charged a Rs.2/- per page.
- For accounts opened during the year, AMC will be charged on pro-rata basis in the first bill. The AMC is charged for first/one year and will be automatically renewed at the end of the financial year at the prevailing rates, unless Way2Wealth Brokers Pvt. Ltd received written communication in the prescribed format. AMC once paid will not be refunded on any circumstances. Service Tax as applicable would be levied extra.
- Transaction Statement will be sent monthly, only if there is any transaction in the relevant month. If there is no transaction, statement will be provided once in a quarter.
- Demand Draft in favour of "Way2Wealth Brokers Pvt. Ltd" payable at "Bangalore" only. No outstation cheques will not be accepted other than our branches located.
- Cheque Bounce charges will be applied based on charges as determined by the bankers with minimum of Rs.50/- per instance.
- "All market instructions for transfer must be received latest by 4.00 p.m. on the previous working day prior to payin day as per SEBI Guidelines. All off market instructions for transfer must be received at least 24 hours before the execution date. Late instruction would be accepted at the account holder's sole risk/responsibility".
- Charges quoted above are for the services listed. Any service not quoted above will be charged separately.
- Depository- Service charges bills should be paid on or before the due date. Interest @ 2% p.m. will be charged on the outstanding amount for non-payment. Notwithstanding this, DP reserves rights to "Freeze depository account for debit transactions" in case client fails to pay charges.
- This rate card supersedes all our earlier rate structures.
- Insurance charges on holding if any, charged by NSDL, will be recovered from the clients at the discretion of the DP, in proportion to their holdings.
- ' Stamp duty payable as per local charges. For additional services like Internet agreement, Power of Attorney, Fax Indemnity etc., stamp duty payable at actual.

I/We have accepted the Schedule 'A' as stated herein above. We have chosen to open our Trading account with Way2Wealth Brokers Pvt. Ltd for debiting the depository service charges.

I/We hereby authorize M/s Way2wealth Brokers Pvt. Ltd. for debiting amount due towards Scheme - 2 to my / our Trading A/c/

 First/Sole Holder	 Second Holder	 Third Holder
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To,
Way2Wealth Brokers Private Limited
Frontline Grandeur, Ground Floor,
No. 14, Walton Road, Bangalore-560 001

Dear Sirs,


Subject: Issuance of Electronic Contract notes, Statements and Communications

I/we understand that digital signed contract notes and other electronic communications is a specialized service offered by the member which is optional in nature and this document has been signed by me/us voluntarily without any coercion or force. I/we also understand that I/we have a right to terminate this document. However in such an event this facility shall be liable to be terminated.

I/we agree and permit the Member to provide digitally signed contract notes through internet (web-based) at the registered ID which belongs to me/us:

1. I/we shall access the contract notes/ confirmations of the trades executed on my our behalf on the trade date electronically. I/we understand that it is my/our responsibility to review all confirmations, contract notes, statements, notices and other communications including but not limited to margin and maintenance calls, etc.
2. Should I/we experience any difficulty in opening a document electronically delivered by the Member, the Member may, on receipt of intimation from the me/us in that behalf, make the required delivery by any other electronic means (e-mail, fax, electronic mail attachment, or in the form of an available download from the back-office website) or in paper based format.
3. I/we agree not to receive the contract notes in paper form from the Member. Provided however that in case when the Member is not able to provide Contract Note to me/us through (web based) electronic medium due to any unforeseen problems, the Member would ensure that the contract note reaches me/us in physical form as per the time schedule stipulated in the Bye-Laws, Rules and Regulations of the Exchanges
4. I/we shall take all the necessary steps to ensure confidentiality and secrecy of the login name and password which secure my/our email-ID. Unless I/we lodge a complaint with the Member as to my/our inability to access the system, it would be presumed that contract notes and all have been properly delivered
5. I/we agree that the Member fulfils its legal obligation to deliver to the client any such document if sent vial electronic delivery and the Member has not received any report indicating bouncing back of such electronic delivery
6. I/we agree that as an alternative to the e-mail communication and/or in case of non-receipt of the digital contract note through e-mail I/we shall utilize the facility of accessing the website hosted by the Member to access the contract note.
7. I/we agree that in any change in the Email-ID provided in KYC shall be communicated by me/us to the Member in writing.
8. I/We request you allocate a login and mail the password to me/us for accessing your website to download my contract notes and my statements

Yours sincerely,

Client Name :	
Client Signature	

To,
Way2Wealth Brokers Private Limited
Frontline Grandeur, Ground Floor,
No. 14, Walton Road, Bangalore-560 001

Dear Sirs,

Subject: Maintenance of Running Account (Optional)

I/we understand that maintenance of running account is a specialized service offered by the member which is optional in nature and this document has been signed by me/us voluntarily without any coercion or force. I/we also understand that I/we have a right to revoke this authorization at any time and to terminate this document, subject to termination of this facility and review of Member-Client relation by the Member.


For the purpose of my / our comfort and operational convenience, I/We authorize you to maintain a running account instead of settlement to settlement payout of funds or delivery of securities to me / us. All funds and securities kept to my / our credit by you, may be treated as up front margins and / or security or interest free deposit, at your discretion, for allowing exposure to me / us. Under this arrangement made at my / our specific request, I / we shall not claim any interest on the funds and securities kept in running account with you.

I/We hereby authorize you to keep the payout / margin money deposited by me / us with you in my / our account for meeting all my / our future obligations and for providing limits for trading purpose on the basis of this deposit. These funds can be released to me / us as and when requested by me / us in writing subject to availability of free and unutilized balance after taking into consideration all open positions and dues relating to my / our delivery positions. I/We understand and agree that the Member may at its own discretion or on periodic basis payoff the balance lying to the credit of my/our trading account.

I/We understand that as per norms prescribed by SEBI, you are required to settle / release the funds and securities at regular intervals as per preference. I authorize you to settle / release the funds and securities once in calendar month / quarter.

I/we hereby further direct and authorize you to retain net amount up to Rs 10,000/- (net amount across segment and across stock exchanges) as prescribed by the exchange while settling the account on monthly/quarterly (as the case may be) basis in additions to funds being with held as permitted by SEBI circular MIRSD/SE/Cir-19/2009 in case I/we have traded even once during the last one month/quarter as the case may be.

Yours sincerely,

Client Name :	
Client Signature	

Securities Trading using Wireless Technology

To,
Way2Wealth Brokers Private Limited
Frontline Grandeur, Ground Floor,
No.14, Walton Road, Bangalore -560 001

Sir,

Sub: Securities Trading using Wireless Technology

I/We _____ am/are registered as your client with Client Code No. _____ and have executed the Trading Member and Client Agreement and also the agreement for Internet based trading for the purpose of trading in the Capital Market segment, Futures & Options segment, Currency Derivatives segment of National Stock Exchange of India Ltd. (Exchange).

I/We am/are interested in carrying securities trading through use of wireless technology which shall include devices such as mobile phone, laptop with data card, etc using Internet Protocol (IP).

I/We understand that the terms and conditions applicable to Internet based trading will also be applicable to securities trading through use of wireless technology. I / We am fare made aware by you regarding all the possible risks, responsibilities and liabilities associated with securities trading using wireless technology.

I/We understand that you shall provide information with respect to the addresses of Internet web site / web page where detailed information would be available about securities trading done through the use of wireless technology.

I/We understand that you shall send the Order / Trade confirmation details on our existing E-mail id registered with us on execution of order/trade on the NEAT system, as the case may be. We agree that the information sent by you on our E-mail id would be deemed to be a valid delivery of such information by you. The aforesaid information regarding order and trade confirmation shall also be provided to me / us on the device used for securities trading through the use of wireless technology.

I/We am / are aware that authentication technologies and strict security measures are required for the securities trading using wireless technology through order routed system and undertake to ensure that our password is not revealed to any third party.

I/We therefore request you to enable me / us for carrying securities trading through use of wireless technology.

Thanking you,

Yours faithfully,

(15)

Signature of Client

MEMBER-CLIENT AGREEMENT

This agreement is made at Bangalore this _____ day of _____ between Way2Wealth Brokers Private Limited (member of National Stock Exchange of India Ltd. with SEBI Registration No. NSE-INB231150237 for Capital Market Segment, Member on the NSE for Futures & Options Segment with SEBI Registration No. NSEINF231150237, Member on the NSE for Currency Derivatives Segment with SEBI Registration No. INE231150237), hereinafter called MEMBER and having its registered office at Way2Wealth Brokers Private Limited Frontline Grandeur Ground Floor, No.14 Walton Road, Bangalore- 560001, **AND**

_____ a individual/company /trust/firm or any other body duly formed and registered under the relevant Act, hereinafter called the CLIENT, having its residence/registered office at _____

WITNESSTH:

Whereas the MEMBER is registered as TRADING MEMBER of National Stock exchange of India Ltd. with SEBI Registration No. INF230683037. Whereas the MEMBER is eligible for providing Internet based trading and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with data card, etc which use Internet Protocol (IP). "hereas the CLIENT is desirous of investing/trading in those securities admitted for dealing on the Exchange as defined in the Bye-Laws of the Exchange. For this purpose, the CLIENT is desirous of using either the internet based trading facility or the facility for securities trading through use of wireless technology. Whereas the CLIENT has satisfied itself of the capability of the MEMBER to deal in securities and wishes to execute his orders through him and the CLIENT shall continue to satisfy itself of such capability of the MEMBER before executing orders through him. Whereas the MEMBER has satisfied and shall continuously satisfy himself about the genuineness and financial soundness of the CLIENT and investment objectives relevant to the services to be provided. Whereas the MEMBER has taken steps and shall take steps to make the CLIENT aware of the precise nature of the MEMBER'S liability for business to be conducted, including any limitations on that liability and the capacity in which it acts. In consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions:

1. The MEMBER agrees that it has complied / shall comply with all requirements applicable to securities trading using wireless technology as may be specified by SEBI & the Exchange from time to time. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations and guidelines issued by SEBI and Stock Exchange rules, regulations and Byelaws that may be in force from time to time.

2. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations and guidelines issued by SEBI and Stock Exchange rules, regulations and Bye-laws that may be in force from time to time.

3. In the event of death or insolvency of the CLIENT or his otherwise becoming incapable of receiving and paying for or delivering or transferring securities which the client has ordered to be bought or sold, MEMBER may close out the transaction of the client and the client or his legal representative shall be liable for any losses, costs and be entitled to any surplus which may result there from.

4. The agreement entered into between the MEMBER and the CLIENT shall stand terminated by mutual consent of the parties by giving at least one month written notice. Such cancellation or termination shall not have any effect on transaction executed before the date of such notice of termination and the parties shall enjoy the same rights and shall have same obligations in respect of such transactions. The MEMBER has bought to the notice of client the possible risks, responsibilities and liabilities associated with securities trading using wireless technology.

5. The Member shall provide information with respect to the addresses of the Internet web site / web page where detailed information would be available about securities trading through the use of wireless technology. The CLIENT is aware that as it may not be possible to give detailed information to the investor on a hand held device e.g. mobile phones, minimum information may be given with address of the Internet web site / web page where detailed information would be available.

6. The instructions issued by an authorized representative of the client shall be binding on the client in accordance with the letter authorizing the said representative to deal on behalf of the client.

7. The CLIENT is aware that authentication technologies and strict security measures are required for the internet trading / securities trading through wireless technology through order routed system and undertakes to ensure that the password of the CLIENT and/or his authorised representative are not revealed to any third party.

8. The CLIENT agrees that the MEMBER shall not be liable or responsible for non-execution of the orders of the CLIENT due to any link/system failure at the CLIENT/MEMBERS/EXCHANGE end.

9. The Stock Exchange may cancel a trade suo-moto without giving any reason thereof. In the event of such cancellation, MEMBER shall be entitled to cancel relative contract(s) with CLIENT.


10. The MEMBER shall also send the Order/Trade confirmation slip through E-mail to the CLIENT, within _____ (time period as specified by the Client) from the time of execution of order/trade on the NEAT system, as the case may be. Trade confirmation will be provided to the user along with history of trades for the day. The CLIENT agrees that the information sent by MEMBER by E-mail is deemed to be a valid delivery of such information by the MEMBER. The aforesaid information regarding order and trade confirmation shall be provided on the device of the CLIENT in case of securities trading through the use of wireless technology.

11. The CLIENT is aware that the MEMBER has provided on the web site a facility for reconfirmation of orders, which are larger than that specified by the MEMBER'S risk management, by the MEMBER and is also aware that the MEMBER has the discretion to reject the execution of such orders based on his risk perception.

12. The Member and the Client are aware of the provisions of Bye-Laws, Rules and regulations of the Exchange relating to resolution of disputes/differences through the mechanism of arbitration provided by the Exchange and agree to abide by the said provisions.

13. All trades, transactions and contracts are subject to the Bye-Laws, Rules and Regulations of the Exchange and shall be deemed to be and shall take effect as wholly made, entered into and to be performed in the city of Mumbai and the parties to such trade shall be deemed to have submitted to the jurisdiction of the Courts in Mumbai for the purpose of giving effect to the provisions of the Rules and Regulations of the Exchange.

IN WITNESS THEREOF, the parties to agreement have caused these presents to be executed as of the day and year first above written.

Signed for and on behalf of Way2Wealth Brokers Private Limited	Signed for and on behalf of Client
By:	By:
Signature:	Signature:  Signature of Client
Title	Title
Witness:	Witness:

POWER OF ATTORNEY

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TO ALL TO WHOM THESE PRESENTS SHALL COME I/We, _____ (residence having residence / office at _____ address for individuals, office address for proprietorship, partnership and HUF and registered office address for Companies, trusts and societies) hereinafter referred to as the "Client" (which expression shall unless repugnant to the context or meaning thereof, include his/her heirs, executors and administrators of the partners, permitted assigns, successors in title, trustee of a Trust and their successors, member of governing body, as the case may be, legal representatives) Send greetings.

WHEREAS:

(A) I/We, as the broking Client of Way2Wealth Brokers Private Limited (the "WBPL") being a trading member of NSE and BSE MCX-SX desire to invest to invest and/or trade in shares, bonds, debentures, Units of Mutual Fund and other securities, whether listed or unlisted, including application for any offer or public issue of shares, scrips, stocks bonds, debentures, or units of any Mutual Fund or any other security or financial instrument, derivative, Post Office Schemes, Small Saving Schemes, Bonds, Fixed Deposits, Public Provident Fund or such other products or services that WBPL may in its absolute discretion introduce or offer from time to time (hereinafter referred to as the "Securities" and or "Investment Products") with or through the on-line and/or off-line trading facilities offered by the WBPL as trading member of BSE/NSE, accordingly as the Client, I/We have entered into/propose to enter into Member-Client-Agreement (hereinafter referred to as the said "Member-Client Agreement" as amended and in force from time to time) with Member/WBPL in this regard;

B) I / We, individual/joint / corporate account holders hold Demat Account Client ID No.....(the

designated "**Demat Account**") with WBPL in its capacity as NSDL Depository Participant under DP-ID **IN303077** & CDSL Depository Participant under DP-ID **12062900 & 12031500**

(C) Pursuant to the terms of the said Member-Client, Agreement and the POA, I/We have designated/ are designating the said Demat Account for the purpose of the said Member-Client Agreement;

(D) As consideration for the above mentioned facilities as well as a prerequisite for fulfilling all the various preconditions, requirements and obligations as the Client of WBPL as well as for facilitating WBPL to exercise its powers available in law and to facilitate proper execution and completion of all trade transactions in Securities by WBPL on my/our behalf, I /We, individual/joint account holders, am/are desirous of appointing WBPL as my/our attorney and confer upon WBPL the powers hereinafter stated.

NOW THEREFORE THESE PRESENTS WITNESS THAT

I/We, as individual/ joint account holders, for myself

/ourselves, individual/joint account holders and as the Clients of WBPL do hereby, nominate, constitute and appoint Way2Wealth Brokers Private Limited ('WBPL') a private company incorporated under the Companies Act, 1956 and having its registered office at Frontline Grandeur, Ground Floor, 14, Walton Road Bangalore 560001 and having its KYC Processing Centre at No 5, 1st Floor, Pipeline Road, Hoysalanagar, Sunkadakatte, Bangalore - 560091, as its Attorney (hereinafter called as the "said Attorney") acting through any of its officers or employees or any duly authorized agents, sub-agents or delegates to be my/our true and lawful constituted attorney and authorize the said Attorney in my/our name(s) and on my/our behalf from time to time, to do and exercise or cause to be done and exercised all or any of the acts, powers and authorities, deeds or things mentioned hereunder, that is to say:

1. To operate the designated depository account, block, pledge, hold, debit all or any of the Securities including those in the internal electronic system of WBPL from time to time held by me/us in the designated Demat Account and to give such instructions as and when the said Attorney may deem fit, to transfer all or any of the said Securities to WBPL Pool Accounts & Ben/Margin accounts

NSDLNSEPool	In30307710004896
NSDL BSE Pool	IN30307710002386
CDSLNSEPool	1206290000000014
CDSL BSE Pool	1206290000000052
NSDL BEN A/CNo	IN30307710000256
NSDL BEN A/CNo	IN30307710000297
CDSL NSE Early Pay-in A/C No	1100001100019469
CDSL BSE Early Pay-in A/C No	1100001100019424
CDSL BSE Payin A/C No	1206290000000048
NSDL BEN A/C No	IN30307710238189
CDSL Ben A/C No	1206290000000029
NSDL Margin A/C No	IN30307710238189

or in accordance with the instructions of WBPL towards the fulfilling of all or any of my/our margin / trading obligations for and transactions completed on my/our behalf/account through the on-line/offline trading facilities of WBPL or otherwise and any recording or other records of such transactions by WBPL in its usual course of business shall be binding on me/us and admissible in evidence and shall not be questioned by me/us and shall be conclusive and binding on me/us. This authorization shall not in any way be applicable for Off Market transaction to third parties in the above referred Depository Account.

The Attorney would return to me / us the Securities that may have been received by them erroneously or those Securities that it was not entitled to receive from me/us.

2. To sign, deliver, endorse, and/or acknowledge all instructions, forms, transfer deeds, depository forms and instruments, drafts, slips, receipts and other instruments and writings which are required or considered necessary or advisable for performing all or any of the powers or authorities hereby conferred by me/us on the said Attorney.
3. To exercise all powers conferred pursuant to these presents as aforesaid on all or any of the Securities held in the designated Demat Account and to exercise such rights arising due to any loss suffered on account of any transaction done and executed by WBPL on my / our, individual/joint account holders.
4. To apply for, subscribe to or enter into correspondence with respect to any Initial Public Offers / Rights Offer / Offers for Sale of Securities of the companies or any other "Investment Products" offered through the website www.way2wealth.com (the said website owned by Way2Wealth Brokers Private Limited whatever name called) or any other means as per the instructions made available to them by me / us by electronic mail or through any other mode as specified on the website or otherwise
5. To deposit / transfer on allotment / purchase, the securities, debentures, unit of Mutual funds and / or all other investment products applied through WBPL, to my/our designated demat Account opened with WBPL
6. To sign any application, enter into any agreement to complete any transaction requested through the said Website or otherwise
7. To use the designated demat account for the purpose to filling up the said applications, whenever required, provide copy of the PAN number provided & certified by me / us to the Issues / Regulations and to provide nominations in favour of such persons as intimated in respect of investments made in my / our behalf
8. To sign and execute all applications or any other documents relating to such Initial Public Offer/Offers for Sale or any other Investment Products
9. To retain all originals of the documents executed by me/us
10. To sign, deliver, endorse, and/or acknowledge all instructions, forms, transfer deeds, forms and instruments, drafts, slips, receipts and other instruments and writings which are required or considered necessary or advisable for performing all or any of the powers or authorities hereby conferred be me/us on the said Attorney
11. To forward all such applications placed through the Website to online-IPO module of the concerned

Exchange or owners / issuers of "Investment Products"

12. To receive intimation from the Exchange and any other party regarding the allocation / allotment / rejection / regret of the securities or such other "Investment Product" applications / subscriptions / withdrawal or any other communications
13. To debit my / our Trading account towards monies / fees / charges etc. payable to WBPL or to a Third Party service provider or to any of the affiliates / subsidiaries of WBPL by virtue of I/We using / subscribing to any of the facilities / services provided either by WBPL or through a Third Party service provider or by any other security or financial instrument on behalf of me/us through WBPL or any third party
14. To do all such acts, deeds and things as may be necessary for or incidental to my/our, individual / joint account holders being the Client of WBPL for the purposes as aforesaid.
15. I/We authorize WBPL to send a consolidated summary of the transactional statements to me / us from time to time at by registered mobile number / email address, by way of SMS / email on a daily basis notwithstanding any other document to be disseminated as specified by SEBI from time to time.
16. And I/We hereby also authorize the Attorney, from time to time and without requiring any further instructions, concurrence or consent from me / us, individual ; ' joint account holders (these presents shall constitute my our standing instructions and consent);
 - (a) to appoint any sub-agent(s) and or delegate all or any of the powers granted under this power of attorney to one or more persons or entities of the Attorney's choice on such terms and conditions as the Attorney may think fit,
 - (b) to remove, replace, substitute such sub-agents and withdraw all or any of such delegated powers as the Attorney may decide; and
 - (c) to disclose all information regarding my/our Demat Account to WBPL.
17. AND I /We, individual / joint account holders do hereby for my self ourselves, agree to ratify and confirm all and whatsoever my / our said Attorney shall do or purport to do or cause to be done by virtue of these presents.
18. AND I /We, individual / joint account holders do hereby for myself /ourselves agree and confirm that the aforesaid powers shall be exercised by the Attorney acting through any of its officers or employees or any duly authorized agents, sub-agents, or delegates.
19. We specifically agree that in case of death, disability, insolvency, winding up or liquidation of anyone or more of us or of the joint account

holder/s, the surviving joint holders and heirs, nominees, executors, administrators, successors and legal representatives of the account holder who has died, disabled, dissolved, been adjudged insolvent, wound up or liquidated shall forthwith inform the Attorney and the Member/WBPL of the same in writing. In such an event, the Power of Attorney and the instructions contained herein shall be revoked forthwith and remaining joint holders along with heirs, nominees, executors, administrators, successors and legal representatives of such joint account holder/s shall forthwith execute a fresh power of attorney and other relevant documents.




20. This POA has been given in consideration of WBPL making available to me/us facility to invest and/or trade in the securities with or through the online and/or off-line trading facilities offered by WBPL as trading member of BSE/NSE in terms of the said Member-Client Agreement and for WBPL agreeing at my/our request to act as my/our Attorney and accordingly this POA shall in all events as agency coupled with interest be irrevocable till the said Member-Client Agreement

is in force and/or till all the relevant Securities and/or all amounts together with interest, charges and expenses and all other monies due and payable in respect of the MemberClient Agreement and/or the transactions completed by WBPL on my/our behalf have been duly received, paid or settled by me/us as the Client and WBPL has instructed/consented to the revocation of this POA.

21. The Power of Attorney with authority and powers as stated herein has been given voluntarily as consideration for above mentioned services for my/our convenience only. And I / We do hereby confirm and declare that this Power of Attorney shall be valid, effective operative until the notice of such termination is received at the Registered Office of WBPL provided however that notwithstanding such termination WBPL shall be entitled to act upon the provisions of this authority for meeting and complete satisfaction of all obligations created prior to the receipt of notice of such termination at the registered office of WBPL and cessation of this authority shall not affect or impair any act thereto done in exercise of these presents

AND it is hereby clarified and declared that the Attorney being a body corporate the powers hereinbefore granted by be exercised by an of its agents or employee to whom the Attorney may delegate any of the powers aforesaid and accordingly the Attorney may appoint and remove any sub agent or attorney from time to time as it may consider appropriate. One of the authorized signatory of the said Attorney has accepted these presents. Such acceptance of the said authorized signatory does not preclude the Attorney to act on powers and authorities here in contained through any of its other authorized signatories as may be appointed by the Attorney from time to time.

IN WITNESS THEREOF I / We, individual/joint account holders hereunto have set and subscribe my/our respective hand(s) hereto at _____ on this _____ day of _____ 20 _____.

Name:		Signature
First Holder		
Second Holder		
Third Holder		
In Presence of Witnesses		
1. Name & Address		
2. Name & Address		

I/We accept _____

Authorised Signatory (For Way2Wealth Brokers Private Limited)

HUF DECLARATION

To,

Date: ____/____/____

Way2wealth Brokers Private Limited
Front Line Grandeur, Ground Floor,
No. 14, Walton Road,
Bangalore - 560 001

Dear Sir,

I, the undersigned _____ the present Karta and we the undersigned _____ the present adult members of the Hindu Undivided family of _____ (hereinafter referred to as "the said joint family") are desirous of opening a Depository Account and Trading Account with Way2 Wealth Brokers Pvt. Ltd. at its Branch in the name of _____, Hindu Undivided Family.

In the event of the Brokers acceding to our desire and opening the said Account, I _____, Karta in my personal capacity and for and on behalf of not only the present members, but the future members of the said joint family with intent to bind our interest in the undivided family property and our several separate properties and also with intent to bind so far as possible the interest of the minor members of the said joint family undertake and agree with the BROKERS.


- That Shri _____ as the Karta of the said joint family is entitled to deal in connection with the said family without any authority from the other members of the said joint family.
- To give written notice thereof to the BROKERS in the event of any Partition or any separation of any members of the said joint family.
- Any amount due to the BROKERS from the said joint family under its account with the BROKERS shall be recoverable from all or any of us personally and also from the properties of the said joint including the share of the minors therein.
- Any member who shall have separated from the said joint family shall continue to be liable to the BROKERS for any amount due under the account of the said joint family pending notice in writing to the BROKERS of such separation.

Names and ages of the Minor Members of the Hindu Undivided family

Name		Age		Sex		Relation Ship	
Name		Age		Sex		Relation Ship	
Name		Age		Sex		Relation Ship	
Name		Age		Sex		Relation Ship	

Yours Faithfully,

Name		Age		Sex		Relation Ship		Sign	
Name		Age		Sex		Relation Ship		Sign	
Name		Age		Sex		Relation Ship		Sign	
Name		Age		Sex		Relation Ship		Sign	

 (Signature of Karta)

To,
Way2Wealth Brokers Private Limited
Front Line Grandeur, Ground Floor,
No. 14, Walton Road,
Bangalore - 560 001
(Karnataka, India)

Sub : Acknowledgment for receiving the client registration documents

Dear Sir,

This is to acknowledge the receipt of the following listed client registration documents.


S. No.	Brief Significance of the document
1.	Client Registration Form
2.	Rights and obligation
3.	Risk Disclosure document (RDD)
4.	Guidance Note
5.	Polices & Procedures
6.	Write up on PMLA (Check List)
7.	Tariff Sheet
8.	Contact Details
9.	Rights and obligations of the Beneficial owner and Depository Participants
10.	Additional Voluntary clauses supplemental to Account Opening Form
11.	Smart Terms & Conditions for receiving SMS alerts from CDSL

I further state and confirm that I have read and understood all the clauses of aforesaid document. I also confirm that I have received the relevant clarifications, where ever required form the officials of Way2Wealth Brokers Private Limited to my complete understanding and satisfaction.

Yours Faithfully,

Name of the Applicant : _____

Signature of the Applicant:



Signature of Client

CSRF 1

Affix
recent colour
photograph
of
3.5 cm X 2.5 cm
size

Please Select your Category [Pleasetick(✓)]

<input type="checkbox"/> Government Sector	<input type="checkbox"/> Corporate Sector
<input type="checkbox"/> All Citizen Model	<input type="checkbox"/> NPS Lite/Swavalamban

* indicates mandatory fields. Please fill the form in English and BLOCK letters with black ink pen. (Refer general guidelines at instructions page)

[illegible][illegible][illegible][illegible]

[#]Not more than 3 months old. Please refer Sr. No. 2 of the instructions

Landline Phone (with STD Code) Mobile + 9 1

Email ID

Do you want to subscribe to SMS Alerts : Yes ☐ No ☐ Mobile number is essential for receiving sms alerts regarding your NPS account

► Occupation Details [please tick(✓)]

Private Sector ☐ Government Sector ☐ Public Sector ☐ Business ☐ Professional ☐ Agriculture ☐

Homemaker ☐ Student ☐ NRI ☐ Other (please specify)

► Please Tick If Applicable Politically exposed person ☐ Related to Politically exposed Person ☐

► Income Range (per annum) Upto 1 lac ☐ 1 lac to 5 lac ☐ 5 lac to 10 lac ☐ 10 lac to 25 lac ☐ 25 lac and above ☐

► Educational Qualifications Below SSC ☐ SSC ☐ HSC ☐ Graduate ☐ Masters ☐ Professionals (CA, CS, CMA, etc.) ☐

[illegible]

8. SUBSCRIBERS NOMINATION DETAILS* (Please refer to Sr. No . 5 of the instructions)

Name of the Nominee (You can nominate up to a maximum of 3 nominees and if you desire so please fill in Annexure III (Additional Nomination Form) provided separately)

Nominee Name Relationship with the Nominee Date of Birth (In case of Minor)

Nominee's Guardian Details (in case of a minor)

Nominee's Guardian **9. NPS OPTION DETAILS** (Please tick (√) as applicable)I would like to subscribe for Tier II Account also YES ☐ NO ☐ If yes, please submit details in Annexure I. (Tier II account is not available for NPS Lite/Swavalamban subscribers).I would like my PRAN to be printed in Hindi YES ☐ NO ☐ If Yes, please submit details on Annexure II**10. PENSION FUND (PF) SELECTION AND INVESTMENT OPTION*****(i) PENSION FUND SELECTION (Tier I): The names of the all PFs are mentioned in the instructions page and are available to the all sector subscribers with following conditions:**

- (i) **Government Sector:** For Government Subscribers, the following PFs act as default PFs as per the guidelines issued by the Government:
 (a) LIC Pension Fund Limited (b) SBI Pension Funds Pvt. Limited (c) UTI Retirement Solutions Ltd.
- (ii) **NPS Lite/Swavalamban:** NPS Lite Swavalamban is a group choice model where subscriber has a choice of PF and investment option as available with Aggregator.
- (iii) **All Citizen Model:** Subscribers under All Citizen model has the option to choose the available PFs as per their choice in the table below.
- (iv) **Corporate Model:** Subscribers shall have the option to choose the available PFs as per the below table in consultation with their respective Employer.

Name of the Pension Fund	Please Tick (√)	Availability of the Pension Funds			
LIC Pension Fund Limited	<input type="checkbox"/>	Available to Government Sector	Available to NPS Lite/Swavalamban	Available to All Citizen Model*	Available to Corporate Model*
SBI Pension Funds Private Limited	<input type="checkbox"/>				
UTI Retirement Solutions Limited	<input type="checkbox"/>				
ICICI Prudential Pension Funds Management Company Limited	<input type="checkbox"/>				
Kotak Mahindra Pension Fund Limited	<input type="checkbox"/>				
Reliance Capital Pension Fund Limited	<input type="checkbox"/>				
HDFC Pension Management Company Limited	<input type="checkbox"/>				

* Selection of Pension Fund is mandatory both in Active and Auto Choice. In case, you do not indicate a choice of PF, please note that it is deemed that you have consented for the default PF specified by PFRDA. Currently, SBI Pension Funds Private Limited is the default PF.

(ii) INVESTMENT OPTION (Available for All Citizen Model and Corporate Model Subscribers)

(Please Tick (√) in the box given below showing your investment option).

Active Choice ☐ Auto Choice ☐

For details on Auto Choice, please refer to the Offer Document. Please note:

- In case you do not indicate any investment option, your funds will be invested in Auto Choice
- In case you have opted for Auto Choice, DO NOT fill up section below relating to Asset Allocation. In case you do, the Asset Allocation instructions will be ignored and investment will be made as per Auto Choice.

(iii) ASSET ALLOCATION (to be filled up only in case you have selected the 'Active Choice' investment option)

Asset Class	E (Cannot exceed 50%)	C	G	Total	Note:- The total allocation across E, C and G asset classes must be equal to 100%. In case, the allocation is left blank and/or does not equal 100%, the application shall be rejected.
%					

11. DECLARATION BY SUBSCRIBER* (Please refer to Sr no. 6 of the instructions)**Declaration & Authorization by all subscribers**

I have read and understood the terms and conditions of the National Pension System and hereby agree to the same and declare that the information and documents furnished by me are true and correct, to the best of my knowledge and belief. I undertake to inform immediately the Central Record Keeping Agency/National Pension System Trust, of any change in the above information furnished by me. I do not hold any pre-existing account under NPS. I understand that I shall be fully liable for submission of any false or incorrect information or documents.

I further agree to be bound by the terms and conditions of provision of services by CRA, from time to time and any amendment thereof as approved by PFRDA, whether complete or partial without any new declaration being furnished by me. I shall be bound by the terms and conditions for the usage of I-pin (to access CRA/NPSCAN and view details) & T-pin on the CRA website.

Additional declaration by Swavalamban subscriber

I have read/explained to me and understood the Swavalamban guidelines and I meet the prescribed eligibility criteria for assistance under the scheme. I also undertake to adhere to the prescribed contribution limit of minimum Rs. 1000/- and maximum of Rs. 12000/-, failing which the Central Government contribution credited to my account may be forfeited along with such interest rates as may be prescribed.

Declaration under the Prevention of Money Laundering Act, 2002

I hereby declare that the contribution paid by me/on my behalf has been derived from legally declared and assessed sources of income. I understand that NPS Trust has the right to peruse my financial profile or share the information, with other government authorities. I further agree that NPS Trust has the right to close my PRAN in case I am found violating the provisions of any law relating to prevention of money laundering.

Date

Place :

Signature/Thumb Impression* of Subscriber in black ink
(* LTI in case of male and RTI in case of female)**ACKNOWLEDGEMENT**Name of the Subscriber: Contribution Amount Remitted: ₹ Date of Receipt of Application and Contribution Amount:

Stamp and Signature of the Employer/PoP/Aggregator:

12. DECLARATION BY EMPLOYER/POP/AGGREGATOR**Applicable to Government Subscribers only****(Subscribers Employment Details to be filled and attested by the Deptt. (All Details are Mandatory))**

Date of Joining	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="/"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="/"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	Date of Retirement	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="/"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="/"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	
Employee Code/ID	<input type="text"/>			
Group of Employee (Tick as applicable)	Group A <input type="checkbox"/>	Group B <input type="checkbox"/>	Group C <input type="checkbox"/>	Group D <input type="checkbox"/>
Office	<input type="text"/>			
Department	<input type="text"/>			
Ministry	<input type="text"/>			
DDO Registration Number	<input type="text"/>			
DTO/PAO/CDDO/DTA/PrAO Registration Number	<input type="text"/>		Basic Pay	<input type="text"/>
Pay Scale	<input type="text"/>			

It is certified that the details provided in this subscriber registration form by _____ employed with us, including the address and employment details provided above are as per the service record of the employee maintained by us. Also, it is further certified that he/she has read entries/entries have been read over to him/her by us and got confirmed by him/her.

<input type="text"/>		<input type="text"/>	
Signature of the Authorised person (In the box above)	Rubber Stamp of the DDO (In the box above)	Signature of the Authorised person (In the box above)	Rubber Stamp of the DTO/PAO/CDDO/ DTA/PrAO (In the box above)
Designation of the Authorised Person <input type="text"/>		Designation of the Authorised Person <input type="text"/>	
Name of the DDO <input type="text"/>		Name of DTO/PAO/CDDO/DTA/PrAO <input type="text"/>	
Deptt/Ministry <input type="text"/>		Date <input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="/"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="/"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	

Applicable to Corporate Subscribers only**(Subscribers Employment Details to be filled and attested by Corporate (All Details are Mandatory))**

Date of Joining	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="/"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="/"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	Date of Retirement	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="/"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="/"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>
Employee ID	<input type="text"/>		
Corporate Regd. No Allotted by CRA	<input type="text"/>		
CBO No. allotted by CRA	<input type="text"/>		

Certified that the details provided in this subscriber registration form by _____ employed with us, including the employment details provided above are as per the service record of the employee maintained by us. Also, it is further certified that he / she has read the entries / entries have been read over to him / her by us and got confirmed by him / her.

<input type="text"/>		Date <input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="/"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="/"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	<input type="text"/>
Signature of the Authorized Person (In the box above)	Place		Rubber Stamp of the Corporate (In the box above)
Designation of the Authorized Person: <input type="text"/>			

To be filled by POP-SP (Only incase of All Citizen Model or Corporates subscribers)

Receipt No. (17 digits)	<input type="text"/>	POP-SP Registration Number	<input type="text"/>
Document accepted for date of Birth Proof:	<input type="text"/>		
Copy of PAN card submitted	YES <input type="checkbox"/>	NO <input type="checkbox"/>	KYC Compliance YES <input type="checkbox"/>
Existing Bank Customer:			

I/we hereby certify/confirm that Shri/Smt/Kum is an existing customer of the Bank having fully operative Saving Bank account no at branch and KYC norms required for opening Bank Account which match the requirements for opening NPS account have been fully complied with. We further confirm that the S. B. a/c of Sh/Smt/Kum is not a 'Basic Savings Bank Deposit Account'.

Aadhaar Based KYC Certificate:

I/we hereby certify that Aadhaar Number of Sh/Smt/Kum has been checked and the name and address mentioned on the original Aadhaar card are matching with that mentioned on NPS application form.

To be filled by POP-SP	<input type="text"/>	
	Name:	
	Designation:	Place:
POP-SP Seal	Signature of Authorized Signatory	Date <input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="/"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="/"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>

Declaration by the Aggregator (Only incase of NPS Lite/Swavalamban Subscribers)**Authorisation by Aggregator's office (NL - AO)**

Certified that the subscriber is registered with the aggregator and he/she has opted to join NPS. I hereby declare that the subscriber is eligible to join NPS and the above declaration has been signed /thumb impressed before me by after (s)he has read the entries/ entries have been read over to her/him by me.

<input type="text"/>		<input type="text"/>	
Signature of the Authorised person (In the box above)		Rubber Stamp of the Aggregator (In the box above)	
Name of the Aggregator <input type="text"/>			
NPS Lite Account Office (NL-AO) Registration Number		NPS Lite - Collection Centre (NL - CC) Registration Number	
<input type="text"/>		<input type="text"/>	
Membership No. allotted by Aggregator (if any) <input type="text"/>			
Place	<input type="text"/>	Date	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="/"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="/"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>

[To be filled by CRA - Facilitation Center (CRA-FC)]

Received by	<input type="text"/>	CRA-FC Registration Number	<input type="text"/>
Received at	<input type="text"/>	Date	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="/"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="/"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>
Acknowledgement Number (by CRA-FC) <input type="text"/>			
PRAN Allotted <input type="text"/>			

INSTRUCTIONS FOR FILLING THE SUBSCRIBER REGISTRATION FORM

General Guidelines

- (a) Please fill the form in legible handwriting so as to avoid errors in your application processing. Please do not overwrite. Corrections should be made by cancelling and re-writing and such corrections should be countersigned by the applicant. Each box, wherever provided, should contain only one character (alphabet / number / punctuation mark) leaving a blank box after each word.
- (b) Applications incomplete in any respect and/or not accompanied by required documents are liable to be rejected. The application is liable to be rejected if mandatory fields are left blank or the application form is printed back to back
- (c) The subscriber should not sign across the photograph. The photograph should not be stapled or clipped to the form. If there is any mark on the photograph such that it hinders the clear visibility of the face of the subscriber, the application shall not be accepted.
- (d) Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification by the nodal office.
- (e) Name and Address of the applicant mentioned on the form, should match with the documentary proof submitted.
- (f) The subscriber's thumb impression should be verified by the DDO/PAO/DTO/designated officer of POP-SP/Aggregator
- (g) Government employees (mandatorily covered under NPS) may submit their application for Tier II to any POP-SP of their choice. The list of POP-SPs rendering services under NPS is available on CRA website <http://www.npsra.nsdl.co.in>

S.No	Item No.	Item Details	Instructions			
1	1	Date of Birth	Please ensure that the date of birth matches as indicated in the document provided in the support.			
		Father's Name	i. If father's name has more than 30 digits, you may fill Annexure II for the same.			
			ii. Father's name is mandatory. However, if applicant does not want to provide father's name, he/she has an option to provide mother's name on Annexure II and the mother's name will be printed on PRAN card			
			iii. If the applicant wants mother's name to be printed instead of Father's name on PRAN Card, he/she must fill Annexure II			
2	2, 3 & 4	Identity, Correspondence & Permanent address details	S.No	Proof of Identity (Copy of anyone)	S.No	Proof of Address (Copy of anyone)
			1	Passport issued by Government of India.	1	Passport issued by Government of India
			2	Ration card with photograph.	2	Ration card with photograph and residential address
			3	Bank Pass book or certificate with Photograph.	3	Bank Pass book or certificate with photograph and residential address
			4	Certificate of the POP bank for an existing Bank customer.	4	Certificate of the POP bank for an existing Bank customer.
			5	Voters Identity card with photograph and residential address.	5	Voters Identity card with photograph and residential address
			6	Valid Driving license with photograph	6	Valid Driving license with photograph and residential address
			7	Certificate of identity with photograph signed by a Member of Parliament or Member of Legislative Assembly	7	Letter from any recognized public authority at the level of Gazetted officer like District Magistrate, Divisional commissioner, BDO, Tehsildar, Mandal Revenue Officer, Judicial Magistrate etc.
			8	PAN Card issued by Income tax department	8	Certificate of address with photograph signed by a Member of Parliament or Member of Legislative Assembly
			9	Aadhar Card / letter issued by Unique Identification Authority of India	9	Aadhar Card / letter issued by Unique Identification Authority of India clearly showing the address
			10	Job cards issued by NREGA duly signed by an officer of the State Government	10	Job cards issued by NREGA duly signed by an officer of the State Government
			11	Identity card issued by Central/State government and its Departments, statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled commercial Banks, Public Financial Institutions, Colleges affiliated to universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc.	11	The identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions for their employees.
			12	Photo Identity Card issued by Defence, Paramilitary and Police departments.	12	Latest Electricity/water bill in the name of the Subscriber showing the address (less than 3 months old)
			13	Ex-Service Man Card issued by Ministry of Defence to their employees.	13	Latest Telephone bill in the name of the Subscriber showing the address (less than 3 months old)
			14	Photo Credit card.	14	Latest Property/house Tax receipt (not more than one year old)
					15	Existing valid registered lease agreement of the house on stamp paper (in case of rented/leased accommodation)
			Note: (i) If the address on the document submitted for identity proof by the prospective customer is same as that declared by him/her in the account opening form, the document may be accepted as a valid proof of both identity and address. (ii) If the address indicated on the document submitted for identity proof differs from the current address mentioned in the account opening form, a separate proof of address should be obtained. All future communications will be sent to correspondence address. If correspondence & Permanent address are different, then proof for both have to be submitted. (iii) In case of Government subscribers, the KYC documents may be submitted within a period of 30 days after generation of PRAN.			
3	6	Other Details (Occupation Details)	An NRI subscriber would need to furnish an Indian address for communication and bank details within India. Fund transfers by NRIs would be subject to regulatory requirements as prescribed by RBI from time to time and FEMA requirements.			
		Politically Exposed Person	Politically Exposed Persons' (PEPs) are individuals who are or have been entrusted with prominent public functions in a foreign country, for example heads of state or of the government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials.			
4	7	Subscriber's Bank Details	For Tier I, bank details are optional. For activation of Tier II, bank details are mandatory. Please attach a Cancelled cheque (containing Subscriber Name, Bank Account Number and IFS Code) or Bank Certificate containing Name, Bank Account Number and IFS code, for direct credit or electronic transfer. In case if the cheque is not preprinted with name, additionally, a copy of the bank passbook or bank certificate containing Name, Bank Account Number and IFS code should be submitted.			
5	8	Subscriber's Nomination Details	In case of more than one nominee, percentage share value for all the nominees must be integer. Decimals/Fractional values shall not be accepted in the nomination(s). Sum of percentage share across all the nominees must be equal to 100. If sum of percentage is not equal to 100, entire nomination will be rejected.			
6	12	Declaration by Subscriber	Signature / Thumb impression should only be within the box provided in the form. Thumb impression, if used, should be attested by the nodal officer with the official seal and stamp. Left Thumb Impression in case of male and Right Thumb Impression in case of female.			

General Information for Subscribers

- a) The Subscriber can obtain the status of his/her application from CRA and their designated nodal officer.
- b) Subscribers are advised to retain the acknowledgement slip signed/ stamped by the designated nodal officer where they submit the application.
- c) For more information / clarifications, contact CRA:
 Website: <https://www.npsra.nsdl.co.in>
 Call: 022-2499-4200
 e-mail: info.cra@nsdl.co.in
 Address: Central Recordkeeping Agency, NSDL e-Governance Infrastructure Limited, 1st Floor, Times Tower, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel (W), Mumbai - 400 013.

Check List	Individual	NON-Individual
Photo	<input type="checkbox"/>	<input type="checkbox"/>
PAN CARD	<input type="checkbox"/>	<input type="checkbox"/>
Driving Licence	<input type="checkbox"/>	<input type="checkbox"/>
Pass Port copy	<input type="checkbox"/>	<input type="checkbox"/>
Ration Card	<input type="checkbox"/>	<input type="checkbox"/>
Voter ID Card	<input type="checkbox"/>	<input type="checkbox"/>
Bank Pass Book with Statement	<input type="checkbox"/>	<input type="checkbox"/>
Bank Introduction Letter with Photo	<input type="checkbox"/>	<input type="checkbox"/>
Cancelled Cheque Leaf	<input type="checkbox"/>	<input type="checkbox"/>
DP Proof	<input type="checkbox"/>	<input type="checkbox"/>
Directors / Authorised signatories ID Proof	<input type="checkbox"/>	<input type="checkbox"/>
Directors / Authorised signatories Address Proof	<input type="checkbox"/>	<input type="checkbox"/>
Newtorth Certificate	<input type="checkbox"/>	<input type="checkbox"/>
Last Two Years Balance Sheet	<input type="checkbox"/>	<input type="checkbox"/>
MOA&AOA	<input type="checkbox"/>	<input type="checkbox"/>
NRI PIS Letter	<input type="checkbox"/>	<input type="checkbox"/>
Certificate of Incorporation	<input type="checkbox"/>	<input type="checkbox"/>
NRI Overseas Address Proof	<input type="checkbox"/>	<input type="checkbox"/>
Partnership deed (Duly notarised)	<input type="checkbox"/>	<input type="checkbox"/>
Firm Resolution to open and operate the trading & demat account	<input type="checkbox"/>	<input type="checkbox"/>
Undertaking letter in the prescribed format	<input type="checkbox"/>	<input type="checkbox"/>
List of Directors	<input type="checkbox"/>	<input type="checkbox"/>
Latest share holding pattern	<input type="checkbox"/>	<input type="checkbox"/>
Authorised Signatory List with signature and photograph	<input type="checkbox"/>	<input type="checkbox"/>
Form 32 (If names of present Directors do not appear on MO A/AO A)	<input type="checkbox"/>	<input type="checkbox"/>

For Office Use Only:

Checked By	Entered By	Authorised By
Sign	Sign	Sign
Date:	Date:	Date:

